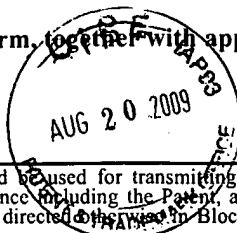


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Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
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Donna Riccardulli	(Depositor's name)
<i>Donna Riccardulli</i>	(Signature)
AUGUST 18, 2009	(Date)

40627 7590 05/19/2009
ADAMS & WILKS
17 BATTERY PLACE
SUITE 1231
NEW YORK, NY 10004
08/20/2009 RMEBRAH 00000067 010268 09582903
01 FC:1501
02 FC:8001 6.00 DA 1510.00 DP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/582,903 08/11/2000 KENJI KATO S004-4034(PCT) 3167

TITLE OF INVENTION: NEAR-FIELD OPTICAL HEAD HAVING TAPERED HOLE FOR GUIDING LIGHT BEAM.

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	08/19/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
DINH, TAN X	2627	369-112000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SEIKO INSTRUMENTS INC.

JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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**ANY DEFICIENCY IN
 ENCLOSED PAYMENT,**

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature *Bruce L. Adams*
 Typed or printed name **BRUCE L. ADAMS**

Date **AUGUST 18, 2009**

Registration No. **25,386**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Authorized Signature

BRUCE L. ADAMS

Date AUGUST 18, 2009

Typed or printed name

Registration No. 25,386

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